## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ashkenazi et al.

Serial No.: not yet assigned

Filed: herewith

For: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS

ENCODING THE SAME

Group Art Unit: not yet assigned

Examiner: not yet assigned



### **ASSOCIATE POWER OF ATTORNEY (37 CFR 1.34)**

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Please recognize as Associate Attorney in this case:

Ginger R. Dreger Reg. No. 33,055 CUSTOMER NO. 30,313

Please direct all communications relative to said pending patent application to the following address:

Ginger R. Dreger Knobbe Martens Olson & Bear 201 California Street, Suite 1150 San Francisco, CA 94111 Telephone: 415-217-8381

Respectfully submitted, GENENTECH, INC.

Date: October 16, 2001

Elizabeth M. Barnes Reg. No. 35,059

Telephone No. 650-225-4563

09157

PATENT TRADEMARK OFFICE

#### **COMBINED DECLARATION FOR PATENT APPLICATION** AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

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	l of which (check one) led on _ (if applicable)	· · · · · · · · · · · · · · · · · · ·	was filed on <u>July 30, 2001</u> as Application Senai No. <u>09/916,308</u>
	at I have reviewed an y amendment referre		nts of the above-identified specification, including the claims, as
Code of Federa	l Regulations, Section	n 1.56.	ul to the examination of this application in accordance with Title 37
inventor's certific	cate listed below and h	under Title 35, United S nave also identified below on on which priority is c	tates Code, §119(a)-(d) of any foreign application(s) for patent o w any foreign application for patent or inventor's certificate have a laimed:
Prior Foreign Ap	oplication(s):		Priority Claimed Yes No
Number	Country	Day/Month/	Year Filed
1.1	ittached Appendi		l 19(e) of any United States provisional applications(ຄ) listed below
as the subject m provided by the defined in Title 3	atter of each of the cla first paragraph of Title 37, Code of Federal R	aims of this application is 35, United States Code	120 of any United States applications(s) listed below and, insofa not disclosed in the prior United States application in the manne , §112, I acknowledge the duty to disclose material information as n occurred between the filing date of the prior application and the
		ix B (Listing 26 U.S	S. and 38 PCT Patent Applications)
Application Ser.	. No.	Filing Date	Status: Patented, Pending, Abandoned
Application Sor	No	Filing Date	Status: Patented Pending Ahandoned

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Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

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Inventor's signature	Date
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William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

Application Ser. No.

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My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{July\,30,\,2001}$  as Application Serial No.  $\underline{09/918,585}$  and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: **Priority Claimed** Prior Foreign Application(s): No Yes Day/Month/Year Filed Number Country Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: Please see attached Appendix A (Listing 110 U.S. Provisional Applications) Filing Date Application Ser. No. I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)

Filing Date

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Status: Patented, Pending, Abandoned

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Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

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Full name of sole or first inventor	
A.√.J. Ashkenazi	
	Date
Inventor's signature	Date
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TOTAL LANG.	Data
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Inventor's signature	Baio
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Full name of fifth joint inventor, if any	<del></del>
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Inventor's signature	Date
Residence 75 Knight Drive, San Rafael, CA 94901	
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Full name of sixth joint inventor, if any Napoleone Ferrara	
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Full name of eight joint inventor, if any	
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Inventor's signature	Date
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Citizenship US	
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Inventor's signature	Date
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Chizenship CN	
Post Office Address  DNA Way	
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Full name of tenth joint inventor	
Hanspeter Gerber	
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Citizenship CH	
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South San Francisco, CA 94080	

### Full name of twelfth joint inventor, if any **Audrey Goddard** Date Inventor's signature Residence 110 Congo Street, San Francisco, CA 94131 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, CA 94010 Citizenship US Post Office Address DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any آل. Christopher Grimaldi Date Inventor's signature Residence 1434 36th Avenue, San Francisco, CA 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any Austin L. Gurney Date Inventor's signature Residence 1 Debbie Lane, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

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Post Office Address I DNA Way South San Francisco, CA 94080	
Full name of eighteenth joint inventor, if any Sophia S. Kuo	
fiventor's signature	Date
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Citizenship US	
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Full name of nineteenth joint inventor	
Mary A. Napier	
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Full name of twenty-second joint inventor  Margaret Ann Roy	
Tnventor's signature	Date
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Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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	I have also identified belo	States Code, §119(a)-(d) of any foreign application(s) for patent or ow any foreign application for patent or inventor's certificate have a claimed:
Prior Foreign Application(s):		Priority Claimed Yes No
Number Country	Day/Month	/Year Filed
	,	119(e) of any United States provisional applications(s) listed below:  L.S. Provisional Applications)
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Full name of sole or first inventor	
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Dovid Rotatoin	
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Mary NIU	8-29-01
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Full name of fourth joint inventor Luc Desnoyers Inventor's signature Date Residence 2050 Stockton Street, San Francisco, CA 94133 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Dan L. Eaton Inventor's signature Date Residence 75 Knight Drive, San Rafael, CA 94901 Citizenship US Post Office Address DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Napoleone Ferrara Inventor's signature Date Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Inventor's signature Date Residence 538 18th Avenue, San Francisco, CA 94121 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

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Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature  Residence 2960 Webster Street #4 San Francisco, CA 94123	Date
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David L. Shelton	
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Full name of twenty-sixth joint inventor, if any  P. Mickey Williams	
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Full name of twenty-seventh joint inventor, if any	
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{\text{July 30, 2001}}$  as Application Serial No.  $\underline{09/918,585}$  and was amended on \_ (if applicable).

I hereby state that I have reviewed and amended by any amendment referred		tents of the above-identified specification, including the claims, as
l acknowledge the duty to disclose information of Federal Regulations, Section		ial to the examination of this application in accordance with Title 37,
	ave also identified belo	States Code, §119(a)-(d) of any foreign application(s) for patent or ow any foreign application for patent or inventor's certificate have a claimed:
Prior Foreign Application(s):		Priority Claimed Yes No
Number Country	Day/Month	n/Year Filed
Please see attached Appendix Application Ser. No.	<b>x A (Listing 110 U</b> Filing Date	
as the subject matter of each of the claid provided by the first paragraph of Title 3	ms of this application is 35, United States Code gulations, §1.56 whic	§120 of any United States applications(s) listed below and, insofar is not disclosed in the prior United States application in the manner e, §112, I acknowledge the duty to disclose material information as ch occurred between the filing date of the prior application and the
		S. and 38 PCT Patent Applications)
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor	
ind to the state of the state o	
Avi J. Ashkenazi	
inventor's signature	Date
100 (100 (100 (100 (100 (100 (100 (100	
ENT.	
Residence	
1456 Tarrytown Street, San Mateo, CA 94402	
Citizenship	
US	
Table Control of the	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
South San Francisco, CA 94080	
Full name of second joint inventor, if any	
Kevin P. Baker	
	Date
Inventor's signature	Date
Residence	
14006 Indian Run Drive, Darnestown, MD 20878	
Cilironahia	
Citizenship GB	
GD .	
Post Office Address	
1 DNA Way	
South San Francisco, CA 94080	
Full name of third joint inventor, if any	
t distribute of third joint inventor, if any	
David Botstein	
Inventor's signature	Date
Residence	
2539 Somerset Drive, Belmont, CA 94002	
Citizenship	
US	
Post Office Address	
1 DNA Way	
South San Francisco, CA 94080	

### Full name of fourth joint inventor

Luc Desnoyers

Inventor's_signature	Date
La Char.	08/24/2001
Residence	
2050 Stockton Street, San Francisco, CA 94133	
Citizenship	
CA	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
Residence 75 Knight Drive, San Rafael, CA 94901	
- 175 Kingin Dilve, Carriadas, Cristica	
Citizenship US	
Post Office Address 1 DNA Way	
South San Francisco, CA 94080	
Full name of sixth joint inventor, if any	
Nancioono Ferrara	
Napoleone Ferrara	Date
Inventor's signature	Date
Te	
Residence 2090 Pacific Avenue #704, San Francisco, CA 94109	
Citizenship	
US	
Post Office Address	
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Full name of seventh joint inventor	
Ellen Filvaroff	
Inventor's signature	Date
Residence	
538 18th Avenue, San Francisco, CA 94121	
Citizenship US	
Post Office Address	
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Full name of eight joint inventor, if any	
Sherman Fong	
Inventor's signature	Date
Residence 19 Basinside Way, Alameda, CA 94502	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of ninth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
Residence 3025 South Court, Palo Alto, CA 94306	
Citizenship  CN	
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Full name of tenth joint inventor  Hanspeter Gerber	
Inventor's signature	Date
Residence 1121 Tennessee Street #5, San Francisco, CA 94107	
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Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
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Full name of twelfth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
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Paul J. Godowski	
Inventor's signature	Date
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Full name of fourteenth joint inventor, if any  J. Christopher Grimaldi	
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Full name of fifteenth joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, CA 94002	
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Full name of sixteenth joint inventor	
Kenneth J. Hillan	
Inventor's signature	Date
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Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
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Full name of eighteenth joint inventor, if any	
Sophia S. Kuo	
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Full name of nineteenth joint inventor	
Mary A. Napier	
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Residence 1015 Hayne Road, Hillsborough, CA 94010	
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Full name of twentieth joint inventor, if any	
James Pan	
Inventor's signature	Date
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Citizenship	
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Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
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Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	Date
	Date
Inventor's signature Residence 2960 Webster Street #4, San Francisco, CA 94123	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123  Citizenship	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123  Citizenship US	Date
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Residence 2960 Webster Street #4, San Francisco, CA 94123  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-third joint inventor, if any	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-third joint inventor, if any David L. Shelton Inventor's signature	
Residence 2960 Webster Street #4, San Francisco, CA 94123  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-third joint inventor, if any David L. Shelton	
Residence 2960 Webster Street #4, San Francisco, CA 94123  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-third joint inventor, if any David L. Shelton Inventor's signature  Residence 5845 Clover Drive, Oakland, CA 94618  Citizenship	
Residence 2960 Webster Street #4, San Francisco, CA 94123  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-third joint inventor, if any David L. Shelton Inventor's signature  Residence 5845 Clover Drive, Oakland, CA 94618  Citizenship US	
Residence 2960 Webster Street #4, San Francisco, CA 94123  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-third joint inventor, if any David L. Shelton Inventor's signature  Residence 5845 Clover Drive, Oakland, CA 94618  Citizenship	

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
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Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
∴Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any P. Mickey Williams	
Inventor's signature	Date
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Citizenship US	
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Full name of twenty-seventh joint inventor, if any William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the dut			rial to the examination of this application	in accordance v	vith Title 37,
thereby claim foreign	priority benefits und	der Title 35. United	States Code, §119(a)-(d) of any foreign	application(s) f	or patent or
	sted below and have	e also identified be	low any foreign application for patent or i		
Prior Foreign Applica	tion(s):				Claimed
Interestina 2				<u>Yes</u>	<u>No</u>
Number	Country	Day/Mon	th/Year Filed		
T. Services			§119(e) of any United States provisional a  U.S. Provisional Applications)	applications(s) li	sted below:
Application Ser. No.		Filing Date			
as the subject matter of provided by the first pa	of each of the claims aragraph of Title 35, ade of Federal Regu	of this application United States Coulations, §1.56 wh	e, §120 of any United States applications( i is not disclosed in the prior United States de, §112, I acknowledge the duty to disclo ich occurred between the filing date of th	s application in t ose material info	the manner ormation as
Please see attac Application Ser. No.		<b>3 (Listing 26 L</b> Filing Date	I.S. and 38 PCT Patent Applicat Status: Patented, Pendi		<del></del>
Application Ser. No.		Filing Date	Status: Patented, Pendi	ng, Abandoned	

09157
PATENT TRADEMARK OFFICE

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Full name of sole or first inventor	
Avi J. Ashkenazi	
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1456 Tarrytown Street, San Mateo, CA 94402	
Citizenship	
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Total Call Harcisto, ON 5-1000	
Full name of second joint inventor, if any	
Kevin P. Baker	
Inventor's signature	Date
mvernor a signature	Date
,	
Residence	
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Souli Sail Flaicisco, CA 94060	
Full name of third joint inventor, if any	
David Botstein	
David Bots(eff)	
Inventor's signature	Date
•	
Residence 2539 Somerset Drive, Belmont, CA 94002	
2559 Somerser Drive, Delinoni, OA 94002	
Citizenship	
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Full name of fourth joint inventor	
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Inventor's signature	Date
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifth joint inventor, if any Dan L. Eaton  Our Fall	8/24/01
Inventor's signature	Date
Residence 75 Knight Drive, San Rafael, CA 94901	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of sixth joint inventor, if any  Napoleone Ferrara	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh joint inventor  Ellen Filvaroff	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eight joint inventor, if any	
Sherman Fong	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of ninth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
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Chtizenship CN	
Post Office Address  1 DNA Way  South San Francisco, CA 94080	
Full name of tenth joint inventor	
Hanspeter Gerber Inventor's signature	
inventor's signature	Date
Residence 1121 Tennessee Street #5, San Francisco, CA 94107	
Citizenship CH	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date
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Audrey Goddard	
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Paul J. Godowski	
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Full name of fourteenth joint inventor, if any	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint inventor, if any	
Austin L. Gurney	•
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of sixteenth joint inventor	
Kenneth J. Hillan	
Inventor's signature	Date
Residence 64 Seward Street, San Francisco, CA 94114	
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lvar J. Kljavin	
Inventor's signature	Date
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Inventor's signature	Date
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Full name of twentieth joint inventor, if any	
James Pan	
Inventor's signature	Date
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Nicholas F. Paoni	Date
Inventor's signature	Date
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Post Office Address I DNA Way South San Francisco, CA 94080	
Full name of twenty-second joint inventor  Margaret Ann Roy	
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≟Residence ≟ 2960 Webster Street #4, San Francisco, CA 94123	
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Full name of twenty-third joint inventor, if any	
David L. Shelton	
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Post Office Address 1 DNA Way	
South San Francisco, CA 94080	

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
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Residence 465 Douglass Street, San Francisco, CA 94114	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
- Citizenship US	
Post Office Address  DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any	
P. Mickey Williams	
å Inventor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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My residence, post office address and citizenship are as stated below next to my name,

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I acknowledge the d Code of Federal R			ial to the examination of this application in a	ccordancev	vith Title 37,
inventor's certificat	e listed below and ha		States Code, §119(a)-(d) of any foreign appowers any foreign application for patent or invectal med:		
Prior Foreign Appli	cation(s):			Priority Yes	Claimed No
TO THE PARTY OF TH				100	110
Number	Country	Day/Month	n/Year Filed		
hereby claim the be	enefit under Title 35,	United States Code, §	§119(e) of any United States provisional app	lications(s) li	isted below:
II.					
			J.S. Provisional Applications)		
Application Ser. No	).	Filing Date			
as the subject matter provided by the first defined in Title 37,	er of each of the clair i paragraph of Title 3 Code of Federal Re	ms of this application 5, United States Cod	§120 of any United States applications(s) li is not disclosed in the prior United States ap e, §112, I acknowledge the duty to disclose ch occurred between the filing date of the p	oplication in t material info	the manner ormation as
Please see atta	ched Appendix	B (Listina 26 U.	S. and 38 PCT Patent Application	ns)	
Application Ser. No		Filing Date	Status: Patented, Pending,	Abandoneo	ľ
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The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

institution of the second of t	
Full name of sole or first inventor	
Avi J. Ashkenazi	
inventor's signature	Date
THE STATE OF THE S	
Residence	
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Post Office Address	
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Full name of second joint inventor, if any	
in talle of occore joint intoller, if any	
# Kevin P. Baker	
Inventor's signature	Date
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Full name of third joint inventor, if any	
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Full name of fourth joint inventor	
Luc Desnoyers	
Inventor's signature	Date
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Dan L. Eaton	
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Full name of sixth joint inventor, if any	
Full hame of sixin joint inventor, if any	
Napoleone Ferrara	8/23/01
Napoleone Ferrara Inventor's signature	8/23/01 Date
Napoleone Ferrara	
Napoleone Ferrara Inventor's signature Residence	
Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship	
Napoleone Ferrara  Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way	
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Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor	
Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor  Ellen Filvaroff	Date
Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor  Ellen Filvaroff  Inventor's signature  Residence	Date

Full name of eight joint inventor, if any	
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of ninth joint inventor, if any	
Wei-Qiang Gao Inventor's signature	Date
Residence	
3025 South Court, Palo Alto, CA 94306 Citizenship	
Post Office Address T DNA Way South San Francisco, CA 94080	
Full name of tenth joint inventor	
Hanspeter Gerber	
Inventor's signature	Date
Residence 1121 Tennessee Street #5, San Francisco, CA 94107  Citizenship	
Citizenship CH	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date
Residence 541 Parrott Drive, San Mateo, CA 94402	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twelfth joint inventor, if any Audrey Goddard Date Inventor's signature Residence 110 Congo Street, San Francisco, CA 94131 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, CA 94010 Citizenship \_Us Post Office Address DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any J. Christopher Grimaldi Date Inventor's signature 178 Residence 1434 36th Avenue, San Francisco, CA 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any Austin L. Gurney Date Inventor's signature Residence 1 Debbie Lane, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

rui name of sixteenur joint inventor	
Kenneth J. Hillan	
Inventor's signature	Date
Residence 64 Seward Street, San Francisco, CA 94114	
Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
Residence 3673 Crescent Drive, Lafayette, CA 94549	
Citizenship LUS	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eighteenth joint inventor, if any	
⊴Inventor's signature	Date
Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of nineteenth joint inventor	
Mary A. Napier	
Inventor's signature	Date
Residence 1015 Hayne Road, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

ruli name of twentieth joint inventor, if any	
James Pan	
Inventor's signature	Date
Residence 2705 Coronet Boulevard, Belmont, CA 94002	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
Residence 1756 Terrace Drive, Belmont, CA 94002	
Citizenship US	
Post Office Address 1 DNA Way 2 South San Francisco, CA 94080	
Full name of twenty-second joint inventor  Margaret Ann Roy	
inventor's signature	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
Residence 5845 Clover Drive, Oakland, CA 94618	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any P. Mickey Williams	
Inventor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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filing date befor		e also identified below any foreign application for p on which priority is claimed:	atent or inventor's certificate have a
₽rior Foreign A <sub>l</sub>	pplication(s):		Priority Claimed Yes No
Number	Country	Day/Month/Year Filed	
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Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

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	herein will be so notified by the undersigned.	
Her.	Full name of sole or first inventor	
t.	Avi J. Ashkenazi	
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	David Botstein	
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Napoleone Ferrara	
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Full name of seventh joint inventor	
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Inventor's signature & AMMA	9/13/01
Residence 538 18 <sup>th</sup> Avenue, San Francisco, CA 94121	
Citizenship US	
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Full name of eleventh joint inventor, if any	
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twelfth joint inventor, if any	
Audrey Goddard	
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Citizenship CA	
Post Office Address	
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Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's Signature	9 (13/U)
Residence V 2627 Easton Drive, Burlingame, CA 94010	·
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fourteenth joint inventor, if any	
J. Christopher Grimaldi	
Inventor's signature	9117101
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Full name of fifteenth joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date 9/17/01
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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Sophia S. Kuo		
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Full name of twenty-fourth joint inventor, if any Timothy A. Stewart Date Inventor's signature a.17.0 Residence 465 Douglass Street, San Francisco, CA 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-fifth joint inventor **Daniel Tumas** Date Inventor's signature Residence 3 Rae Avenue, Orinda, CA 94563 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-sixth joint inventor, if any P. Mickey Williams Date Inventor's signature  $\Pi$ Residence 509 Alto Avenue, Half Moon Bay, CA 94019 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-seventh joint inventor, if any William I. Wood Date Inventor's signature Residence 35 Southdown Court, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

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filing date before	e that of the application of	on which priority is claimed:	
P <del>ri</del> or Foreign Ap	oplication(s):		<u>Priority Claimed</u> <u>Yes <u>No</u></u>
Number	Country	Day/Month/Year Filed	
Number I hereby claim the	•	nited States Code, §119(e) of any United States p	rovisional applications(s) listed belov
77 12 Santa Territoria Participation		A (Listing 110 U.S. Provisional Applic	
Application Ser.	No.	Filing Date	
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Approximation of the control of the	
Fall name of sole or first inventor	
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Pest Office Address 1 DNA Way	
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Full name of sixth joint inventor, if any	
Napoleone Ferrara	
Inventor's signature	Date
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2090 Pacific Avenue #704, San Francisco, CA 94109	
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Full name of seventh joint inventor	
Ellen Filvaroff	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eight joint inventor, if any Sherman Fong Inventor's signature rerman Residence 19 Basinside Way, Alameda, CA 94502 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Wei-Qiang Gao Date Inventor's signature Residence 3025 South Court, Palo Alto, CA 94306 Citizenship GN Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor Hanspeter Gerber Date Inventor's signature <u>I</u> Residence 1121 Tennessee Street #5, San Francisco, CA 94107 Citizenship CH Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Mary E. Gerritsen Date Inventor's signature Residence 541 Parrott Drive, San Mateo, CA 94402 Citizenship CA

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Full name of twentin joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
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Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
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Inventor's signature	Date
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Inventor's signature	Date
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Kenneth J. Hillan	
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Prior Foreign Application(s):	Dutante Claimand
	<u>Priority Claimed</u> <u>Yes</u> <u>No</u>
Number Country Day/Month/Year Filed	
Thereby claim the benefit under Title 35, United States Code, §119(e) of a Please see attached Appendix A (Listing 110 U.S. Prov	
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Full name of sole or first inventor	
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Inventor's signature	Date
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Post Office Address 1 DNA Way	
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Full name of second joint inventor, if any	
Kevin P. Baker	
Inventor's signature	Date
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1 DNA Way	
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David Botstein	Data
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Dan L. Eaton	
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Residence 75 Knight Drive, San Rafael, CA 94901	
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Past Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of sixth joint inventor, if any Napoleone Ferrara	
inventor's signature	Date
Résidence 2090 Pacific Avenue #704, San Francisco, CA 94109	
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Full name of seventh joint inventor	
Ellen Filvaroff	
Inventor's signature	Date
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Inventor's signature	Date
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Full name of ninth joint inventor if any Wei-Qiang Gao	8/22/01
Inventor's signature	Date
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Residence 3673 Crescent Drive, Lafayette, CA 94549	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eighteenth joint inventor, if any	
Sophia S. Kuo	
Inventor's signature	Date
Residence	
59 Surrey Street, Apt. 3, San Francisco, CA 94131	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of nineteenth joint inventor	
Mary A. Napier	
Inventor's signature	Date
Residence 1015 Hayne Road, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twentieth joint inventor, if any James Pan Date Inventor's signature Residence 2705 Coronet Boulevard, Belmont, CA 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-first joint inventor, if any Nicholas F. Paoni Date Inventor's signature Residence 1756 Terrace Drive, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-second joint inventor Margaret Ann Roy Date laventor's signature Residence 2960 Webster Street #4, San Francisco, CA 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-third joint inventor, if any David L. Shelton Date Inventor's signature Residence 5845 Clover Drive, Oakland, CA 94618 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any  Partylickey Williams	
Inventor's signature	Date
Hesidence \$69 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address  1 DNA Way South San Francisco, CA 94080	

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{\text{July 30, 2001}}$  as Application Serial No.  $\underline{\text{09/918,585}}$  and was amended on  $\underline{\ }$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

Prior Foreign Ap	oplication(s):		<u>Priority Claimed</u> <u>Yes</u> <u>No</u>
Number	Country	Day/Month/Year Filed	
American September 1		Inited States Code, §119(e) of any United State  A (Listing 110 U.S. Provisional App Filing Date	

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)			
Filing Date	Status: Patented, Pending, Abandoned		
Filing Date	Status: Patented, Pending, Abandoned		
	Filing Date		

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

Tieren will be 30 houried by the diluteralgried.	
Full name of sole or first inventor	
Avi-J. Ashkenazi	
12. The state of t	Data
Inventor's signature	Date
Residence 1456 Tarrytown Street, San Mateo, CA 94402	
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Citizenship GB	
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Full name of third joint inventor, if any	
David Botstein	
Inventor's signature	Date
Residence	
2539 Somerset Drive, Belmont, CA 94002	
Citizenship US	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
222. 22	•

Full name of fourth joint inventor	
Luc Desnoyers	
Inventor's signature	Date
Residence 2050 Stockton Street, San Francisco, CA 94133	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
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Citizenship US	
Post Office Address Post Office Address South San Francisco, CA 94080	
Full name of sixth joint inventor, if any  Napoleone Ferrara	
finventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh joint inventor	
Ellen Filvaroff	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

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Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of ninth joint inventor, if any	
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Inventor's signature	Date
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Citizenship ON	
Post Office Address TDNA Way South San Francisco, CA 94080	
Full name of tenth joint inventor	
Hanspeter Gerber	
Inventor's signature	Date 08/21/26/
Residence 1121 Tennessee Street #5, San Francisco, CA 94107	•
Citizenship CH	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date
Residence 541 Parrott Drive, San Mateo, CA 94402	
Citizenship CA	
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Audrey Goddard	
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Citizenship CA	
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Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
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Full name of fourteenth joint inventor, if any	
d. Christopher Grimaldi	
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Citizenship US	
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Full name of fifteenth Joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, CA 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of sixteenth joint inventor	
Kenneth J. Hillan	
Inventor's signature	Date
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Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
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Citizenship US	
Eost Office Address HDNA Way	
South San Francisco, CA 94080	
Full name of eighteenth joint inventor, if any	
Sophia S. Kuo	
Triventor's signature	Date
Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of nineteenth joint inventor	
Mary A. Napier	
Inventor's signature	Date
Residence 1015 Hayne Road, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twentieth joint inventor, if any	
James Pan	
Inventor's signature	Date
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
Residence 1756 Terrace Drive, Belmont, CA 94002	
Cftizenship Us	
Post Office Address +DNA Way South San Francisco, CA 94080	
Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
Residence 5845 Clover Drive, Oakland, CA 94618	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Docket No. P2630P1C1

## **COMBINED DECLARATION FOR PATENT APPLICATION** AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

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#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or X was filed on July 30, 2001 as Application Serial No. 09/918,585 and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Cacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

Illing date before that of the application or Prior Foreign Application(s):			Priority Claimed
1			<u>Yes</u> <u>No</u>
Number	Country	Day/Month/Year Filed	
hereby claim the	e benefit under Title 35	5, United States Code, §119(e) of any United States	s provisional applications(s) listed below:
Please see a	ttached Append	<u>ix A (Listing 110 U.S. Provisional Appl</u>	ications)
Application Ser.	No.	Filing Date	•

defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)				
Application Ser. No.	Filing Date		Patented, Pending, Abandoned	
Application Ser. No.	Filing Date	Status:	Patented, Pending, Abandoned	

09157

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Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

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The felt will be so notified by the undersigned.	
Full name of sole or first inventor	
The state of the s	
Ávi J. Ashkenazi	
	Date
and fine the second sec	
Residence	
456 Tarrytown Street, San Mateo, CA 94402	
Citizenship	
US	
Post Office Address 1 DNA Way	
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Full name of second joint inventor, if any	
Kevin P. Baker	
Reviii P. Dakei	
Inventor's signature	Date
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17000 Indian full brive, Damestown, IND 20070	
Citizenship	
GB	
Post Office Address	
1 DNA Way	
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Full name of third joint inventor, if any	
David Botstein	
Pavid Bolgloin	
Inventor's signature	Date
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Citizenship	
US	
Post Office Address	
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South San Francisco, CA 94080	

Full name of fourth joint inventor	
Luc Desnoyers	
Inventor's signature	Date
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
Residence 75 Knight Drive, San Rafael, CA 94901	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of sixth joint inventor, if any	
Napoleone Ferrara	
Inventor's signature	Date
Residence 2090 Pacific Avenue #704, San Francisco, CA 94109	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh joint inventor	
Ellen Filvaroff	
Inventor's signature	Date
Residence 538 18 <sup>th</sup> Avenue, San Francisco, CA 94121	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eight joint inventor, if any	
Sherman Fong	
Inventor's signature	Date
Residence 19 Basinside Way, Alameda, CA 94502	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of ninth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
Residence 3025 South Court, Palo Alto, CA 94306	
Citizenship	
Post Office Address I DNA Way South San Francisco, CA 94080	
Full name of tenth joint inventor	
Hanspeter Gerber	
Tnventor's signature	Date
Residence 1121 Tennessee Street #5, San Francisco, CA 94107	
Citizenship CH	
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Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	Sug 31/01
Inventor's signature	O Date
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twelfth joint inventor, if any Audrey Goddard Date Inventor's signature Residence 110 Congo Street, San Francisco, CA 94131 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, CA 94010 Citizenship US Post Office Address T DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any . Christopher Grimaldi Date Inventor's signature Residence 1434 36th Avenue, San Francisco, CA 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any Austin L. Gurney Date Inventor's signature Residence 1 Debbie Lane, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of sixteenth joint inventor	
Kenneth J. Hillan	
Inventor's signature	Date
Residence 64 Seward Street, San Francisco, CA 94114	
Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
Residence 3673 Crescent Drive, Lafayette, CA 94549	
Citizenship DUS	
Post Office Address  LDNA Way South San Francisco, CA 94080	
Full name of eighteenth joint inventor, if any Sophia S. Kuo	
Hinventor's signature	Date
Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
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Inventor's signature	Date
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Full name of twenty-fourth joint inventor, if any Timothy A. Stewart Date Inventor's signature Residence 465 Douglass Street, San Francisco, CA 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-fifth joint inventor **Daniel Tumas** Date Inventor's signature Residence Rae Avenue, Orinda, CA 94563 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-sixth joint inventor, if any P. Mickey Williams Date Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-seventh joint inventor, if any William I. Wood Date Inventor's signature Residence 35 Southdown Court, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

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Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Gode of Federal Regulations, Section 1.56.

Thereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed
Yes No

Number Country Day/Month/Year Filed

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

Please see attached Appendix A (Listing 110 U.S. Provisional Applications)

Application Ser. No. Filing Date

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Please see attached Ap Application Ser. No.	Filing Date	. and 38 PCT Patent Applications) Status: Patented, Pending, Abandoned
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

09157
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herein will be so notified by the undersigned.	
Full name of sole or first inventor	
Avi J. Ashkenazi	
The ventor's signature	Date
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1456 Tarrytown Street, San Mateo, CA 94402	
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Full name of second joint inventor, if any	
Kevin P. Baker	
Inventor's signature	Date
Residence 14006 Indian Run Drive, Damestown, MD 20878	
Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	
David Botstein	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

## Full name of fourth joint inventor Luc Desnoyers Date Inventor's signature Residence 2050 Stockton Street, San Francisco, CA 94133 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Dan L. Eaton Date Inventor's signature Residence 75 Knight Drive, San Rafael, CA 94901 Citizenship ะบร Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Napoleone Ferrara Date Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Date Inventor's signature Residence 538 18th Avenue, San Francisco, CA 94121 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Besidence 9025 South Court, Palo Alto, CA 94306 9015 Post Office Address 1 DNA Way South San Francisco, CA 94080 9016 Pull name of tenth joint Inventor Hanspeter Gerber 9016 Presidence 9017 Presidence 9017 Presidence 9018 Presidence 9019 Post Office Address 1 DNA Way South San Francisco, CA 94080 9018 Post Office Address 1 DNA Way South San Francisco, CA 94080 9018 Pull name of eleventh joint inventor, if any Mary E. Gerritsen Inventor's signature Date 9018 Pesidence 9019 Post Office Address 1 DNA Way Could be presidence 9019 Post Office Address 1 DNA Way Post Office Address	Inventor's signature  Date  Discidence  19 Basinside Way, Alameda, CA 94502  Discretifice Address DNA Way  South San Francisco, CA 94080  Full name of ninth joint inventor, if any  Wel-Claing Gao  The Third Address  Discretifice Address  Date  Da	Full name of eight joint inventor, if any	
Residence 18 Basinside Way, Alameda, CA 94502 Citizenship US Poet Office Address 1 DNA Way South San Francisco, CA 94080 Full name of Toth pint Inventor Hanspeter Gerber Finewhor's signature Date  Date  Date  The Set Office Address 1 DNA Way South San Francisco, CA 94080 Full name of Toth pint Inventor Hanspeter Gerber  Poet Office Address 1 DNA Way South San Francisco, CA 94080 Full name of Toth pint Inventor Hanspeter Gerber  Poet Office Address 1 DNA Way South San Francisco, CA 94080 Full name of Toth pint Inventor Hanspeter Gerber  Poet Office Address 1 DNA Way South San Francisco, CA 94080 Full name of Toth pint Inventor Hand Way Hand Way Feet Office Address 1 DNA Way South San Francisco, CA 94080 Full name of Gewenth joint inventor, if any Mary E. Gertisen Inventor's signature Date  Residence 541 Parrott Drive, San Mateo, CA 94402 Citizenship CA Poet Office Address 1 DNA Way South San Mateo, CA 94402 Citizenship CA Poet Office Address 1 DNA Way Poet Office Address	lesidence 19 Basinside Way, Alameda, CA 94502   Passinside Way, Alameda, CA 94502   Passing Way   Passin	Sherman Fong	
19 Basinside Way, Alamoda, CA 94502  Citizenship US  Poet Office Address 1 DNA Way South San Francisco, CA 94080  Full name of ninth plort inventor, if any Wel-Claing Gao  Inventor's signature  Date  Basidence 9028 South Court, Palo Alto, CA 94306  Citizenship CR  Poet Office Address 1 DNA Way 15 UNA Way 16 UNA Way 16 UNA Way 17 UNA Way 18 UNA Way 19	Statement   Stat	Inventor's signature	Date
19 Basinside Way, Alamoda, CA 94502  Citizenship US  Poet Office Address 1 DNA Way South San Francisco, CA 94080  Full name of ninth plort inventor, if any Wel-Claing Gao  Inventor's signature  Date  Basidence 9028 South Court, Palo Alto, CA 94306  Citizenship CR  Poet Office Address 1 DNA Way 15 UNA Way 16 UNA Way 16 UNA Way 17 UNA Way 18 UNA Way 19	Statement   Stat		
Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if arty  Wei-Clang Gao Inventor's signature Date  Date  Desidence 9025 South Court, Palo Alto, CA 94306 Gittzenship EN Fost Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint Inventor Hanspeter Gerber  Passidence 4121 Tennessee Street #5, San Francisco, CA 94107 Gittzenship CH Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor Hanspeter Gerber  Passidence 4121 Tennessee Street #5, San Francisco, CA 94107 Gitzenship CH Gitzenship CH Residence Full name of eleventh joint inventor, it any Mary E. Geritsen Inventor's signature Date  Residence 941 Parrott Drive, San Mateo, CA 94402 Gittizenship CA Post Office Address 1 DNA Way 1 Date Post Office Address 1 Parrott Drive, San Mateo, CA 94402 Gittizenship CA Post Office Address 1 DNA Way 1 DA	Dist Office Address DNA Way South San Francisco, CA 94080  Tull name of ninth joint inventor, if any Wei-Qiang Gao Inventor's signature Date  Jesidence 3025 South Court, Palo Alto, CA 94306  Titzenship CN  Tot Office Address DNA Way South San Francisco, CA 94080  Tull name of tenth joint inventor Hanspeter Gerber Inventor's signature Date  Tesidence 4121 Tennessee Street #5, San Francisco, CA 94107  Citizenship CH  Tot Office Address 1 DNA Way South San Francisco, CA 94080  Full name of tenth joint inventor, if any Mary E. Gerritsen  Date		
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South San Francisco, CA 94080  Full name of ninth joint inventor, if any  Wei-Clang Gao  Inventor's signature  Date  Residence a025 South Court, Palo Alto, CA 94308  Gitzenship CN  Post Office Address DNA Way South San Francisco, CA 94080  Full name of tenth joint Inventor  Hanspeter Gerber  Inventor's signature  Date  Tessidence H221 Tennessee Street #5, San Francisco, CA 94107  Citizenship CH  Citizenship CH  Full name of eleventh joint inventor, if any  Mary E. Gerritsen  Inventor's signature  Date  Residence  4121 Tennessee Street #5, San Francisco, CA 94107  Citizenship CH  Residence  Full name of eleventh joint inventor, if any  Mary E. Gerritsen  Inventor's signature  Date  Residence  541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way 2 Coffice Address 1 DNA Way 3 Coffice Address 1 DNA Way 4 Coffice Address 1 DNA Way 5 Coffice Address 1 Coffice Address 1 Coffice Address	South San Francisco, CA 94080  Wei-Qiang Gao  Inventor's signature  Date  Date  Discidence South Court, Paio Alto, CA 94306  Discidence South San Francisco, CA 94080  Date  D		
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Inventor's signature Date  Pasidence #3025 South Court, Palo Alto, CA 94306  Gitzenship CN Fost Office Address J DNA Way #South San Francisco, CA 94080  Full name of tenth joint Inventor #1 #Residence #121 Tennessee Street #5, San Francisco, CA 94107  Citizenship CH Post Office Address J DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor #1 #Residence #121 Tennessee Street #5, San Francisco, CA 94107  Citizenship CH Post Office Address J DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor, if any Mary E. Gerritsen  Inventor's signature Date  Date  Citizenship CA Citizenship CA Post Office Address J DNA Way Colfice Address J DNA Way Colfice Address J DNA Way Colfice Address J DNA Way Date  Date  Post Office Address J DNA Way Date  Date  Date  Date  Date  Date  Date  Date  Post Office Address J DNA Way J DNA Way J DNA Way J DNA Way	Inventor's signature  Jack South Court, Palo Alto, CA 94306  Jitzenship CN  Cost Office Address JDNA Way South San Francisco, CA 94080  Juli name of tenth joint inventor  Hanspeter Gerber  Inventor's signature  JOARE  Presidence  JETE Tennessee Street #5, San Francisco, CA 94107  Citizenship CH  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor  JOARE  JOARE	Full name of ninth joint inventor, if any	
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Mary E. Gerritsen  Inventor's signature  Date  Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way	Mary E. Gerritsen	South San Francisco, CA 94080	
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Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way	Inventor's signature Date	Mary E. Gerritsen	
541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way		Inventor's signature	Date
CA Post Office Address 1 DNA Way	Residence 541 Parrott Drive, San Mateo, CA 94402	Residence 541 Parrott Drive, San Mateo, CA 94402	
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	South San Francisco, CA 94080	South San Francisco, CA 94080	

Full name of twelfth joint inventor, if any

Audrey Go	oddard
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Inventor's signature	Date
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William I. Wood	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or X was filed on July 30, 2001 as Application Serial No. 09/918,585 and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as

amended by an	ny amendment referred	to above.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	he duty to disclose informal Regulations, Section		the examination of this a	application in accordance with Title 37,
inventor's certifi	icate listed below and ha		ny foreign application for	any foreign application(s) for patent or patent or inventor's certificate have a
Prior Foreign A	pplication(s):			Priority Claimed Yes No
Number	Country	Day/Month/Yea	r Filed	
Thereby claim th	ne benefit under Title 35, l	Jnited States Code, §119(	e) of any United States p	rovisional applications(s) listed below:
Please see a	attached Appendix	A (Listing 110 U.S.	Provisional Applic	ations)
Application Ser	. No.	Filing Date		
I hereby claim th	ne henefit under Title 35	United States Code, \$120	of any United States an	polications(s) listed below and insofar

as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)			
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	

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PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

nerein will be so notified by the undersigned.	
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Mary E. Gerritsen	
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Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Citizenship ≟US	
≟Post Office Address ■1 DNA Way	
·· ···································	
South San Francisco, CA 94080	
South San Francisco, CA 94080  Full name of twenty-sixth joint inventor, if any	
South San Francisco, CA 94080	
South San Francisco, CA 94080  Full name of twenty-sixth joint inventor, if any P. Mickey Williams	Date
South San Francisco, CA 94080  Full name of twenty-sixth joint inventor, if any	Date
ESouth San Francisco, CA 94080  Full name of twenty-sixth joint inventor, if any  P. Mickey Williams  Inventor's signature  Residence	Date
Full name of twenty-sixth joint inventor, if any  P. Mickey Williams  Inventor's signature  Residence  509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship	Date
Full name of twenty-sixth joint inventor, if any  P. Mickey Williams  Inventor's signature  Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way	Date
Full name of twenty-sixth joint inventor, if any  P. Mickey Williams Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080	Date
Full name of twenty-sixth joint inventor, if any  P. Mickey Williams Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-seventh joint inventor, if any	Date
Full name of twenty-sixth joint inventor, if any  P. Mickey Williams Inventor's signature  Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-seventh joint inventor, if any William I. Wood	
Full name of twenty-sixth joint inventor, if any  P. Mickey Williams Inventor's signature  Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-seventh joint inventor, if any William I. Wood  Inventor's signature  Residence	

Docket No. P2630P1C1

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{\text{July 30, 2001}}$  as Application Serial No.  $\underline{\text{09/918,585}}$ 

and was amended on \_ (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Gode of Federal Regulations, Section 1.56. Thereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a illing date before that of the application on which priority is claimed: Priority Claimed Prior Foreign Application(s): Yes No Day/Month/Year Filed Number Country thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: Please see attached Appendix A (Listing 110 U.S. Provisional Applications) Filing Date Application Ser. No. I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)

Status: Patented, Pending, Abandoned Filing Date Application Ser. No. Status: Patented, Pending, Abandoned Filing Date Application Ser. No.

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

nerein will be so notified by the undersigned.		
Full name of sole or first inventor		
Avi J. Ashkenazi		
	Date	
nventor's signature		
Residence		
1456 Tarrytown Street, San Mateo, CA 94402		
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Inventor's signature	Date	
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Citizenship GB		
Post Office Address		
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		<del></del>
Full name of third joint inventor, if any		
David Botstein		
Inventor's signature	Date	
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Citizenship US	_	
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Full name of fourth joint inventor	
Luc Desnoyers	
Inventor's signature	Date
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Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
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Citizenship ≄US :	
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Full name of sixth joint inventor, if any Napoleone Ferrara	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh joint inventor	
Ellen Filvaroff	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Residence 3025 South Court, Palo Alto, CA 94306  Citizenship CN  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of tenth joint inventor  Hanspeter Gerber  Inventor's signature  Date  Residence 1121 Tennessee Street #5, San Francisco, CA 94107	Full name of eight joint inventor, if any	
Residence 19 Basinside Way, Alameda, CA 94502  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of ninth joint inventor, if any Wei-Qiang Gao Inventor's signature  Date  Citizenship  Residence 3025 South Court, Palo Alto, CA 94306  Citizenship  AN  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of tenth joint inventor  Flanspeter Gerber Inventor's signature  Date  Residence 1721 Tennassee Street #5, San Francisco, CA 94107  Citizenship CH  Dest Office Address 1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor  Flanspeter Gerber Inventor's signature  Date  Residence 541 Part Office Address 1 DNA Way Many E. Geritsen Inventor's signature  Date  Residence 541 Part Diffice Address 1 DNA Way  And Post Office Address 1 DNA Way  And Post Office Address 1 DNA Way  Date  Residence 541 Part Diffice Address 1 DNA Way  Date  Residence 542 Part Diffice Address 1 DNA Way  Date  Residence 1 DNA Way  Date  Dat	Sherman Fong	
19 Bashside Way, Alameda, CA 94502  Cilizanship  Deat Office Address 1 DNA Way South San Francisco, CA 94080  Full name of ninth joint inventor, if any Wel-Clain Gao  Inventor's signature  Date  Residence 3026 South Court, Palo Alto, CA 94306  Cilizanship EN Post Office Address 1 CNA Way 3504 South San Francisco, CA 94080  Full name of tenth joint inventor  Inventor's signature  Date  Residence  Cilizanship CH Post Office Address 1 CNA Way 3504 South San Francisco, CA 94080  Full name of tenth joint inventor  Inventor's signature  Date  Residence 1 Cilizanship CH Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor, if any Mary E. Geritsen Inventor's signature  Date  Residence 541 Parrott Drive, San Mateo, CA 94402  Cilizanship CA Cilizanship CA Post Office Address 1 DNA Way South San Francisco, CA 94402  Cilizanship CA Post Office Address 1 DNA Way South San Francisco, CA 94402  Cilizanship CA Date  Post Office Address 1 DNA Way South San Francisco, CA 94402  Cilizanship CA DINA Way South San Francisco, CA 94402  Cilizanship CA DINA Way South San Francisco, CA 94402  Cilizanship CA DINA Way South San Francisco, CA 94402  Cilizanship CA DINA Way South San Francisco, CA 94402  Cilizanship CA DINA Way South San Francisco, CA 94402  Cilizanship CA DINA Way South San Francisco, CA 94402	Inventor's signature	Date
Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Wei-Clang Gao Inventor's signature Date Recidence 3025 South Court, Palo Alto, CA 94306 Citzenship En Fost Office Address 4 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor Hanspeter Gerber Inventor's signature Date Recidence 1121 Transessee Street #5, San Francisco, CA 94107 Citzenship CH Dost Office Address 1121 Transessee Street #5, San Francisco, CA 94107 Citzenship CH Recidence 1121 Transessee Street #5, San Francisco, CA 94080 Full name of eleverith joint inventor, if any Mary E. Gerritsen Inventor's signature Date Recidence 541 Parnot Torive, San Mateo, CA 94402 Citzenship CA Post Office Address 1 DNA Way Post Office Address 1 DNA Way Date Post Office Address 1 DNA Way Doub CA DNA Way Doub CA Date Post Office Address 1 DNA Way		
1 DNA Way South San Francisco, CA 94080 Full name of ninth joint Inventor, if any Wei-Cliang Gao Inventor's signature Date		
Wei-Qiang Qao Inventor's signature Date  Fesidence ignoces South Court, Palo Alto, CA 94306  Citizenship ien Fost Office Address	1 DNA Way	
Inventor's signature  Pesidence  Residence  Citizenship  EN  Fost Office Address  Tohla Way  South San Francisco, CA 94080  Full name of tenth joint inventor  Hanspeter Gerber  Inventor's signature  Residence  121 Tennessee Street #5, San Francisco, CA 94107  Citizenship  CH  Post Office Address  1 DNA Way  South San Francisco, CA 94080  Full name of eleventh joint inventor, if any  Many E. Gerritsen  Inventor's signature  Date  The post Office Address  1 DNA Way  CA  Citizenship  CA  Post Office Address  1 DNA Way  CA  Citizenship  CA  Post Office Address  1 DNA Way  CA  Post Office Address  1 DNA Way  CA  Date  Date  Post Office Address  1 DNA Way  Date  Date  Post Office Address  1 DNA Way  Date  Date  Post Office Address  1 DNA Way  Date  Date  Date  Date  Date  Post Office Address  1 DNA Way  DNA Way  DNA Way  Date  Date	Full name of ninth joint inventor, if any	
Residence 3025 South Court, Palo Alto, CA 94306  Citizenship Fost Office Address Found Way South San Francisco, CA 94080  Full name of tenth joint inventor Hanspeter Gerber Inventor's signature Date  Tell Tennessee Street #5, San Francisco, CA 94107  Citizenship CH Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor, if any Mary E. Gerritsen Inventor's signature Date  Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA Post Office Address 1 Date	Wei-Qiang Gao	
### South Court, Palo Alto, CA 94306   Citzenship		Date
Ensignature Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of tenth joint inventor Inventor's signature Invento	3025 South Court, Palo Alto, CA 94306	
# South San Francisco, CA 94080  Full name of tenth joint Inventor  Hanspeter Gerber  Inventor's signature  Date  Residence  1121 Tennessee Street #5, San Francisco, CA 94107  Citizenship CH  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor, if any  Mary E. Gerritsen Inventor's signature  Date  Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way  Post Office Address 1 DNA Way  Post Office Address 1 DNA Way  Post Office Address 1 Date	<b>#€N</b>	
Inventor's signature Inventor'	ŤDNA Way	
Inventor's signature  Date  Flesidence T121 Tennessee Street #5, San Francisco, CA 94107  Citizenship CH  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor, if any Mary E. Gerritsen Inventor's signature  Date  Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way	Full name of tenth joint inventor	
Residence 1121 Tennessee Street #5, San Francisco, CA 94107  Citizenship CH  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor, if any Mary E. Gerritsen  Inventor's signature  Date  Pesidence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way	Hanspeter Gerber	
Titzenship CH  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor, if any Mary E. Gerritsen  Inventor's signature  Date  Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way	Inventor's signature	Date
CH  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor, if any  Mary E. Gerritsen  Inventor's signature  Date  Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way		
1 DNA Way South San Francisco, CA 94080  Full name of eleventh joint inventor, if any  Mary E. Gerritsen  Inventor's signature  Date  Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way		
Mary E. Gerritsen  Inventor's signature  Date  Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way	1 DNA Way	
Inventor's signature  Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way	Full name of eleventh joint inventor, if any	
Residence 541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way	Mary E. Gerritsen	
541 Parrott Drive, San Mateo, CA 94402  Citizenship CA  Post Office Address 1 DNA Way	Inventor's signature	Date
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Full name of twenty-first joint inventor, if any	
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Margaret Ann Roy	
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Citizenship US	
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Full name of twenty-third joint inventor, if any	
David L. Shelton	
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Full name of twenty-fourth joint inventor, if any Timothy A. Stewart Date Inventor's signature Residence 465 Douglass Street, San Francisco, CA 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-fifth joint inventor **Daniel Tumas** Date Inventor's signature Residence 3 Rae Avenue, Orinda, CA 94563 Citizenship ÜS Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-sixth joint inventor, if any P. Mickey Williams Date Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-seventh joint inventor, if any William I. Wood Date Inventor's signature Residence 35 Southdown Court, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

#### COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

Application Ser. No.

Application Ser. No.

My residence, post office address and citizenship are as stated below next to my name,

Filing Date

Filing Date

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or X was filed on July 30, 2001 as Application Serial No. 09/918,585 and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as

amended by any amendment referred to above. Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or thventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** Yes No Number Day/Month/Year Filed Country hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: Please see attached Appendix A (Listing 110 U.S. Provisional Applications) Application Ser. No. Filing Date Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35. United States Code, §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)

Status: Patented, Pending, Abandoned

Status: Patented, Pending, Abandoned

09157

PATENT TRADEMARK OFFICE

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I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

Eull name of sole or first inventor	
Āvi J. Ashkenazi	
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307- 	
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_Citizenship	
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Ellen Filvaroff Inventor's signature	Date
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Wei-Qiang Gao	
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Mary E. Gerritsen	
Inventor's signature	Date
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Citizenship CA	
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Full name of twelfth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, CA 94131	
Citizenship CA	
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Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
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Citizenship US	
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Christopher Grimaldi	
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Full name of fifteenth joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of sixteenth joint inventor	
Kenneth J. Hillan	
Inventor's signature	Date
Residence 64 Seward Street, San Francisco, CA 94114	
Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
Residence 3673 Crescent Drive, Lafayette, CA 94549	
Citizenship US	
Post Office Address DNA Way South San Francisco, CA 94080	
Full name of eighteenth joint inventor, if any	
Sophia S. Kuo	7 SEPT (01
Sophia S. Kuo Inventor's signature  Sophia & Company of the compan	7 SEPT OI Date
Inventor's signature	
Inventor's signature  Sophin & Kanada Sanda Sand	
Inventor's signature  Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131  Citizenship	
Inventor's signature  Making J. C.  Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131  Citizenship US  Post Office Address 1 DNA Way	
Inventor's signature  Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080	
Inventor's signature  Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of nineteenth joint inventor	
Inventor's signature  Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of nineteenth joint inventor  Mary A. Napier	Date
Inventor's signature  Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of nineteenth joint inventor  Mary A. Napier  Inventor's signature  Residence	Date

To the harme of twentieth joint inventor, if any	
James Pan	
Inventor's signature	Date
Residence 2705 Coronet Boulevard, Belmont, CA 94002	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
Residence 1756 Terrace Drive, Belmont, CA 94002	
Citizenship US	
Post Office Address DNA Way South San Francisco, CA 94080	
Full name of twenty-second joint inventor  Margaret Ann Roy	
Tinventor's signature	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
Residence 5845 Clover Drive, Oakland, CA 94618	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Besidence	
Rae Avenue, Orinda, CA 94563	
Citizenship US	
Post Office Address I DNA Way	
South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any	
R. Mickey Williams	
Triventor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or <u>X</u> was filed on <u>July 30, 2001</u> as Application Serial No. <u>09/918,585</u> and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

Medical Co.			
		der Title 35, United States Code, §119(a)-(d) of an e also identified below any foreign application for p	
		on which priority is claimed:	
Prior Foreign A	Application(s):		Priority Claimed Yes No
Number	Country	Day/Month/Year Filed	
thereby claim th	ne benefit under Title 35, Ui	nited States Code, §119(e) of any United States pro	ovisional applications(s) listed below
		A (Listing 110 U.S. Provisional Applica	itions)
Application Ser	r. No.	Filing Date	
I hereby claim th	he benefit under Title 35, L	Inited States Code, §120 of any United States app	lications(s) listed below and, insofa

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Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)		
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

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Full name of sole or first inventor	
A CONTRACTOR OF THE CONTRACTOR	
🚉 i J. Ashkenazi	
14.5	Date
	Duto
For the second s	
Residence	
456 Tarrytown Street, San Mateo, CA 94402	
Citizenship	
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Full name of second joint inventor, if any	
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	Date
Inventor's signature	
Residence	
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GB	
Post Office Address	
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Full name of third joint inventor, if any	
*	
David Botstein	
Inventor's signature	Date
inventor o organization	
Residence	
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Citizenship	
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ruii name oi rouriin joint inventor	
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Full name of sixth joint inventor, if any	
Napoleone Ferrara	
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Full name of seventh joint inventor	
Ellen Filvaroff	
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Full name of eight joint inventor, if any	
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Full name of thirteenth joint inventor	
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3. Christopher Grimaldi	
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Full name of sixteenth joint inventor	
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Ivar J. Kljavin	
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Sophia S. Kuo	
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Mary A. Napier	
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Full name of twentieth joint inventor, if any		
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Nicholas F. Paoni		
	Date	
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Full name of twenty-second joint inventor		
Margaret Ann Roy		
Inventor's signature	Date	
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1 DNA Way		
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Full name of twenty-third joint inventor, if any		
David L. Shelton		
Inventor's signature	Date	
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Residence		
5845 Clover Drive, Oakland, CA 94618		
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Āvi J. Ashkenazi	
Enventeria algoritura	Date
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David Botstein	
Inventor's signature	Date
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Citizenship	
US	
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Full name of fourth joint inventor	
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Dan L. Eaton	
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Mary E. Gerritsen	•
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Audrey Goddard	
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James Pan Date Inventor's signature Residence 2705 Coronet Boulevard, Belmont, CA 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-first joint inventor, if any Nicholas F. Paoni Inventor's signature Date Residence 756 Terrace Drive, Belmont, CA 94002 Citizenship Us Post Office Address
T DNA Way South San Francisco, CA 94080 Full name of twenty-second joint inventor Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, CA 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-third joint inventor, if any David L. Shelton Inventor's signature Date Residence 5845 Clover Drive, Oakland, CA 94618 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of twentieth joint inventor, if any

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
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Full name of twenty-fifth joint inventor	
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Full name of twenty-sixth joint inventor, if any	
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Tnventor's signature	Date
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Full name of twenty-seventh joint inventor, if any	
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	duty to disclose inforr Regulations, Section		rial to the examination of this application in accor	dance with Title 37,
inventor's certifica	eign priority benefits u te listed below and ha that of the application	ve also identified bel	States Code, §119(a)-(d) of any foreign applica low any foreign application for patent or inventor claimed:	tion(s) for patent or 's certificate have a
Prior Foreign App	olication(s):			Priority Claimed Yes No
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egina Salah			§119(e) of any United States provisional applicati U.S. Provisional Applications)	ons(s) listed below:
Application Ser. N		Filing Date		
as the subject mat provided by the first defined in Title 37	tter of each of the clair st paragraph of Title 3	ns of this application i 5, United States Cod gulations, §1.56 whic	e, §120 of any United States applications(s) listed is not disclosed in the prior United States applicate, §112, I acknowledge the duty to disclose mate ich occurred between the filing date of the prior a	ation in the manner erial information as
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Residence 1756 Terrace Drive, Belmont, CA 94002	
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Full name of twenty-second joint inventor  Margaret Ann Roy	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{\text{July 30, 2001}}$  as Application Serial No.  $\underline{09/918,585}$  and was amended on  $\underline{\ }$  (if applicable).

	I hereby state that I hav amended by any amen			ontents of the al	oove-identified specification, in	cluding the o	claims, as
	acknowledge the duty t Code of Federal Regul			terial to the exar	mination of this application in acc	cordance wi	th Title 37,
		ed below and hav	e also identified l	elow any foreig	§119(a)-(d) of any foreign appl n application for patent or inven		
	Prior Foreign Application	on(s):				Priority C Yes	<u>No</u>
	Number	Country	Day/Mo	nth/Year Filed			
	I hereby claim the benefi	t under Title 35, U	nited States Cod	e, §119(e) of an	/United States provisional applic	ations(s) list	ted below:
	Please see attache	ed Appendix	A (Listing 11)	U.S. Provis	sional Applications)		
	Application Ser. No.		Filing Date				
	as the subject matter of provided by the first para	each of the claim agraph of Title 35 e of Federal Reg	s of this application, United States Coulations, §1.56 w	on is not disclose ode, §112, I ack hich occurred b	United States applications(s) list ed in the prior United States app nowledge the duty to disclose metween the filing date of the pri	lication in th naterial infor	e manner mation as
	Please see attache	ed Appendix	B (Listing 26	U.S. and 38	PCT Patent Applications	s)	
	Application Ser. No.		Filing Date		Status: Patented, Pending, A		
,	Application Ser. No.		Filing Date		Status: Patented, Pending, A	bandoned	

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

4572	herein will be so notified by the undersigned.	
ła:	Full name of sole or first inventor	
	Avi J. Ashkenazi	
	Inventor's signature  Residence	Date
	Residence 1456 Tarrytown Street, San Mateo, CA 94402	
E .	Citizenship US	
	Post Office Address 1 DNA Way	
T	South San Francisco, CA 94080	
	Full name of second joint inventor, if any	
ariit	Kevin P. Baker	
	Inventor's signature	Date
	Residence 14006 Indian Run Drive, Darnestown, MD 20878	
	Citizenship GB	
	Post Office Address	
	1 DNA Way South San Francisco, CA 94080	
	Full name of third joint inventor, if any	
	David Botstein	
	Inventor's signature	Date
		-4.0
	Residence 2539 Somerset Drive, Belmont, CA 94002	
	Citizenship US	
	Post Office Address 1 DNA Way	
	South San Francisco, CA 94080	

Full name of fourth joint inventor	
Luc Desnoyers	
Inventor's signature	Date
Residence	
2050 Stockton Street, San Francisco, CA 94133	
Citizenship CA	
Post Office Address	
1 DNA Way	
South San Francisco, CA 94080	
Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
☐ □Residence	
75 Knight Drive, San Rafael, CA 94901	
Citizenship	
US	
Post Office Address 1 DNA Way	
South San Francisco, CA 94080	
Full name of sixth joint inventor, if any	
Full name of sixth joint inventor, if any Napoleone Ferrara	
i Inventor's signature	Date
Napoleone Ferrara Inventor's signature	Date
Napoleone Ferrara Inventor's signature	Date
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship	Date
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US	Date
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way	Date
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080	Date
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor	Date
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080	Date
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor	Date
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Inventor's signature	
Napoleone Ferrara  Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor  Ellen Filvaroff	
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Inventor's signature Residence	
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Inventor's signature Residence 538 18th Avenue, San Francisco, CA 94121 Citizenship US	
Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Inventor's signature Residence 538 18 <sup>th</sup> Avenue, San Francisco, CA 94121 Citizenship	

Full name of eight joint inventor, if any	
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Wei-Qiang Gao	
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Full name of tenth joint inventor	
Hanspeter Gerber	
Inventor's signature	Date
Residence 1121 Tennessee Street #5, San Francisco, CA 94107	
Citizenship CH	
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Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date
Residence 541 Parrott Drive, San Mateo, CA 94402	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

i di name di twenti jonit nivento, ii any	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, CA 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
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Full name of fourteenth joint inventor, if any  J. Christopher Grimaldi	
Inventor's signature  Residence	Date
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Austin L. Gurney Inventor's signature	Date
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Full name of sixteenth joint inventor	
Kenneth J. Hillan	
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Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
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-Citizenship -US	
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Sophia S. Kuo	
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Full name of nineteenth joint inventor	
Mary A. Napier	
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Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
Residence 1756 Terrace Drive, Belmont, CA 94002	
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Post Office Address T DNA Way South San Francisco, CA 94080	
Full name of twenty-second joint inventor  Margaret Ann Roy	
Inventor's signature	8/25/0/
Residence 2960 Webster Street #4, San Francisco, CA 94123	· · · · · · · · · · · · · · · · · · ·
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Čitizenship Lius	
Post Office Address DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any  P. Mickey Williams	
Inventor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
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Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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Application Ser. No.

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the specification of which (check one) is attached hereto or <u>X</u> was filed on <u>July 30, 2001</u> as Application Serial No. <u>09/918,585</u> and was amended on <u>\_</u> (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

amended by any amendment referred to above. 1acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or hiventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a Illing date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** Yes No Number Country Day/Month/Year Filed hereby claim the benefit under Title 35. United States Code, §119(e) of any United States provisional applications(s) listed below: Please see attached Appendix A (Listing 110 U.S. Provisional Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35. United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Status: Patented, Pending, Abandoned

Status: Patented, Pending, Abandoned

Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)

Filing Date

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<u></u>	
Full name of sole or first inventor	
Avi J. Ashkenazi	
Inventor's signature	Date
Residence 1456 Tarrytown Street, San Mateo, CA 94402	
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Full name of second joint inventor, if any	
Kevin P. Baker	
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Full name of third joint inventor, if any	
David Botstein	
	Date
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US	
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Full name of fourth joint inventor	
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Oate
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Full name of sixth joint inventor, if any	
Napoleone Ferrara	
Inventor's signature	Date
Residence 2090 Pacific Avenue #704, San Francisco, CA 94109	
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Full name of seventh joint inventor	
Ellen Filvaroff	
Inventor's signature	Date
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Citizenship US	
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Full name of eight joint inventor, if any	
Sherman Fong	
Inventor's signature	Date
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Full name of ninth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
Residence 3025 South Court, Palo Alto, CA 94306	
Citizenship CN	
Post Office Address DNA Way South San Francisco, CA 94080	
Full name of tenth joint inventor	
Hanspeter Gerber	
Inventor's signature	Date
Residence 1121 Tennessee Street #5, San Francisco, CA 94107	
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Mary E. Gerritsen	
Inventor's signature	Date
Residence 541 Parrott Drive, San Mateo, CA 94402	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twelfth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, CA 94131	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
Residence 2627 Easton Drive, Burlingame, CA 94010	
Citizenship LUS	
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Full name of fourteenth joint inventor, if any	
J. Christopher Grimaldi	
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Full name of fifteenth joint inventor, if any	
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DJ P

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James Pan	
Inventor's signature	Date
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Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
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Residence 1756 Terrace Drive, Belmont, CA 94002	
Citizenship US	
Post Office Address  DNA Way	
South San Francisco, CA 94080	
Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date (0-/0-0/
Residence 5845 Clover Drive, Oakland, CA 94618	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
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Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
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Full name of twenty-sixth joint inventor, if any P. Mickey Williams	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
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William I. Wood	
Inventor's signature	Date
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I hereby state that I h amended by any ame			ents of the above-identified specification	n, including the claims, as
lacknowledge the dut Code of Federal Reg			al to the examination of this application i	n accordance with Title 37,
Inventor's certificate	isted below and ha	under Title 35, United S ave also identified belo n on which priority is o	States Code, §119(a)-(d) of any foreign a ow any foreign application for patent or in claimed:	application(s) for patent or oventor's certificate have a
Prior Foreign Applica	ation(s):			Priority Claimed Yes No
Number	Country	Day/Month	/Year Filed	
hereby claim the ben	efit under Title 35,	United States Code, §	119(e) of any United States provisional a	pplications(s) listed below:
Please see attac	hed Appendix	k A (Listina 110 U	I.S. Provisional Applications)	
Application Ser. No.	3-0-2-3-1	Filing Date		
as the subject matter provided by the first p	of each of the clai aragraph of Title 3 ode of Federal Re	ms of this application is 35, United States Code gulations, §1.56 whic	§120 of any United States applications (s s not disclosed in the prior United States e, §112, I acknowledge the duty to disclo h occurred between the filing date of the	s application in the manner use material information as
Please see attac	hed Appendix	k B (Listing 26 U.	S. and 38 PCT Patent Applicati	ions)
Application Ser. No.		Filing Date	Status: Patented, Pendir	
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4	Avi J. Ashkenazi	
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en.	Inventor's signature	Date
L		
Tine.		
	Residence	
1000 "	1456 Tarrytown Street, San Mateo, CA 94402	
Ļ		
2	Citizenship	
	US	
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	1 DNA Way	
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	Full name of second joint inventor, if any	
	Kevin P. Baker	
		Data
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	Olimentia	
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	Full name of third joint inventor, if any	
	ruii name oi uiiiu joini inventor, ii any	
	David Botstein	
	David Dotsteill	
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	myentor a signature	Date
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	Citizenship	
	US	
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Dan L. Eaton	
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Full name of sixth joint inventor, if any Napoleone Ferrara	
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Full name of seventh joint inventor	
Ellen Filvaroff	
Inventor's signature	Date
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Full name of eight joint inventor, if any	
Sherman Fong	
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Full name of ninth joint inventor, if any	
Wei-Qiang Gao	
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Full name of tenth joint inventor  Hanspeter Gerber	
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Mary E. Gerritsen	
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Full name of twelfth joint inventor, if any		
Audrey Goddard		
Inventor's signature	Date	
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Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of thirteenth joint inventor		
Paul J. Godowski		
Inventor's signature	Date	
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Citizenship US		
Post Office Address I DNA Way South San Francisco, CA 94080		
Full name of fourteenth joint inventor, if any		
i.		
J. Christopher Grimaldi		
	Date	
J. Christopher Grimaldi	Date	
J. Christopher Grimaldi Inventor's signature Residence		
J. Christopher Grimaldi Inventor's signature Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122 Citizenship		
J. Christopher Grimaldi Inventor's signature Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122 Citizenship US Post Office Address 1 DNA Way		
J. Christopher Grimaldi Inventor's signature Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080		
J. Christopher Grimaldi Inventor's signature  Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any		
J. Christopher Grimaldi Inventor's signature  Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any Austin L. Gurney		
Inventor's signature  Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any Austin L. Gurney  Inventor's signature  Residence		

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Inventor's signature	Date
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Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
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Sophia S. Kuo	
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1 DNA Way	
1 DNA Way South San Francisco, CA 94080	
1 DNA Way South San Francisco, CA 94080  Full name of nineteenth joint inventor  Mary A. Napier	Date
1 DNA Way South San Francisco, CA 94080  Full name of nineteenth joint inventor  Mary A. Napier	Date
1 DNA Way South San Francisco, CA 94080  Full name of nineteenth joint inventor  Mary A. Napier  Inventor's signature  Residence	Date

93

Date
,
Date
Date
Date

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date &-24-2001
Residence 3 Rae Avenue, Orinda, CA 94563	
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Full name of twenty-sixth joint inventor, if any	
P. Mickey Williams	
Inventor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or X was filed on July 30, 2001 as Application Serial No. 09/918,585 and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

amended by any an	ienument ieleneu	to above.				
I acknowledge the du Code of Federal Re			al to the examination of th	nis application in acc	ordance w	ith Title 37,
	listed below and ha	ve also identified belo	itates Code, §119(a)-(d) w any foreign application laimed:			
Prior Foreign Applic	ation(s):				Priority (	Claimed No
Number	Country	Day/Month	Year Filed			
or appearance of the control of the	ched Appendix	. •	119(e) of any United Stat			
as the subject matte provided by the first	r of each of the clain caragraph of Title 3 Code of Federal Re	ns of this application is 5, United States Code gulations, §1.56 whicl	3120 of any United State on not disclosed in the price of the price of	or United States appli ne duty to disclose ma	cation in thaterial info	ne manner rmation as
Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)						
Application Ser. No.		Filing Date		atented, Pending, Ak		
Application Ser. No.		Filing Date	Status: Pa	atented, Pending, Ab	andoned	

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

Thereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

Herein will be so notined by the distance gives	
Full name of sole or first inventor	
Avi J. Ashkenazi	
- Inventore cignoture	Date
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<u></u>	
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Inventor's signature	Date
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David Botstein	
Inventor's signature	Date
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Citizenship	
US	
Post Office Address 1 DNA Way	
South San Francisco, CA 94080	

Full name of fourth joint inventor Luc Desnoyers Date Inventor's signature Residence 2050 Stockton Street, San Francisco, CA 94133 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Dan L. Eaton Date Inventor's signature Residence 75 Knight Drive, San Rafael, CA 94901 Citizenship US Post Office Address DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Napoleone Ferrara Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Date Inventor's signature Residence 538 18th Avenue, San Francisco, CA 94121 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of eight joint inventor, if any Sherman Fong Date Inventor's signature Residence 19 Basinside Way, Alameda, CA 94502 Citizenship Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Wei-Qiang Gao Date inventor's signature Residence 3025 South Court, Palo Alto, CA 94306 Citizenship CN Post Office Address DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor Hanspeter Gerber Date Inventor's signature Residence 1121 Tennessee Street #5, San Francisco, CA 94107 Citizenship CH Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Mary E. Gerritsen Date Inventor's signature Residence 541 Parrott Drive, San Mateo, CA 94402 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of twelfth joint inventor, if any **Audrey Goddard** Date Inventor's signature Residence 110 Congo Street, San Francisco, CA 94131 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, CA 94010 Citizenship US Post Office Address DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any \_\_J. Christopher Grimaldi Date Inventor's signature Residence

# 1434 36th Avenue, San Francisco, CA 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any Austin L. Gurney Date Inventor's signature Residence 1 Debbie Lane, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of sixteenth joint inventor	
Kenneth J. Hillan	
Inventor's signature	Date
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Post Office Address	
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Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
Residence	
_36/3 Crescent Drive, Latayette, CA 94549	
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Full name of eighteenth joint inventor, if any	
Sophia S. Kuo	
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Full name of nineteenth joint inventor	
Mary A. Napier	
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	Inventor's signature	Date
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11, 124	Citizenship	
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	Full name of twenty-second joint inventor	
-	Margaret Ann Roy	
	Inventor's signature	Date
	Residence	
	Citizenship US	
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	Full name of twenty-third joint inventor, if any	
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	Inventor's signature	Date
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Timothy A. Stewart	
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Citizenship US	
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Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any	01 -11
P. Mickey Williams	127/01
∄Inventor's signature	Date /
Residence	
509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or <u>X</u> was filed on <u>July 30, 2001</u> as Application Serial No. <u>09/918,585</u> and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. Hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a Filing date before that of the application on which priority is claimed: **Priority Claimed** Prior Foreign Application(s): Yes No Number Day/Month/Year Filed Country I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: Please see attached Appendix A (Listing 110 U.S. Provisional Applications) Application Ser. No. Filing Date Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications) Status: Patented, Pending, Abandoned Application Ser. No. Filing Date Application Ser. No. Filing Date Status: Patented, Pending, Abandoned

09157

PATENT TRADEMARK OFFICE

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Full name of sole or first inventor	
Avi J. Ashkenazi	
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Inventor's signature	Date
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Full name of eleventh joint inventor, if any	
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Full name of thirteenth joint inventor	
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Full name of fifteenth joint inventor, if any	
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### Full name of sixteenth joint inventor Kenneth J. Hillan Inventor's signature Date Residence 64 Seward Street, San Francisco, CA 94114 Citizenship GB Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventeenth joint inventor, if any Ivar J. Kljavin Inventor's signature Date Residence 3673 Crescent Drive, Lafayette, CA 94549 Citizenship **US** Post Office Address ☐ DNA Way South San Francisco, CA 94080 Full name of eighteenth joint inventor, if any Sophia S. Kuo Inventor's signature Date Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of nineteenth joint inventor Mary A. Napier Date Inventor's signature Residence 1015 Hayne Road, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of twentieth joint inventor, if any	
James Pan	
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Nicholas F. Paoni	
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Timothy A. Stewart	
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Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
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Full name of twenty-sixth joint inventor, if any	
P. Mickey Williams	
Inventor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
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Full name of twenty-seventh joint inventor, if any	
William I. Wood	
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